

# Assessment of Airway Function in Asthma

Mark Mottershaw



# Introduction

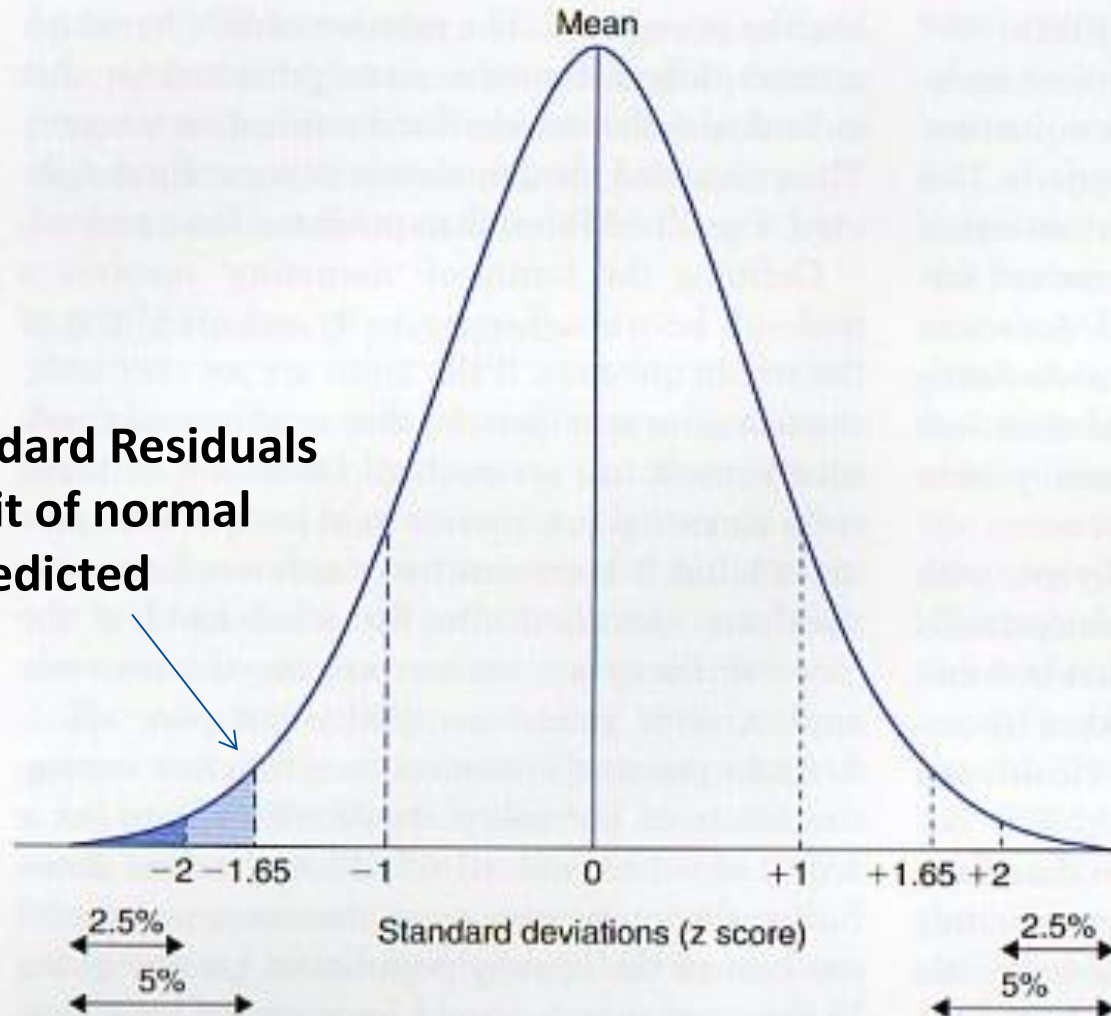
- Guidelines
  - BTS 2016
    - “Confirmation of asthma hinges on demonstration of airflow variability over short periods.”
- Understand normal
- Interpret deviations from normal
- Assess results of standard interventions
- Awareness of alternatives

# Expressing Normality

- Percent Predicted
- Lower limit of normal (LLN)
- Standard Residual

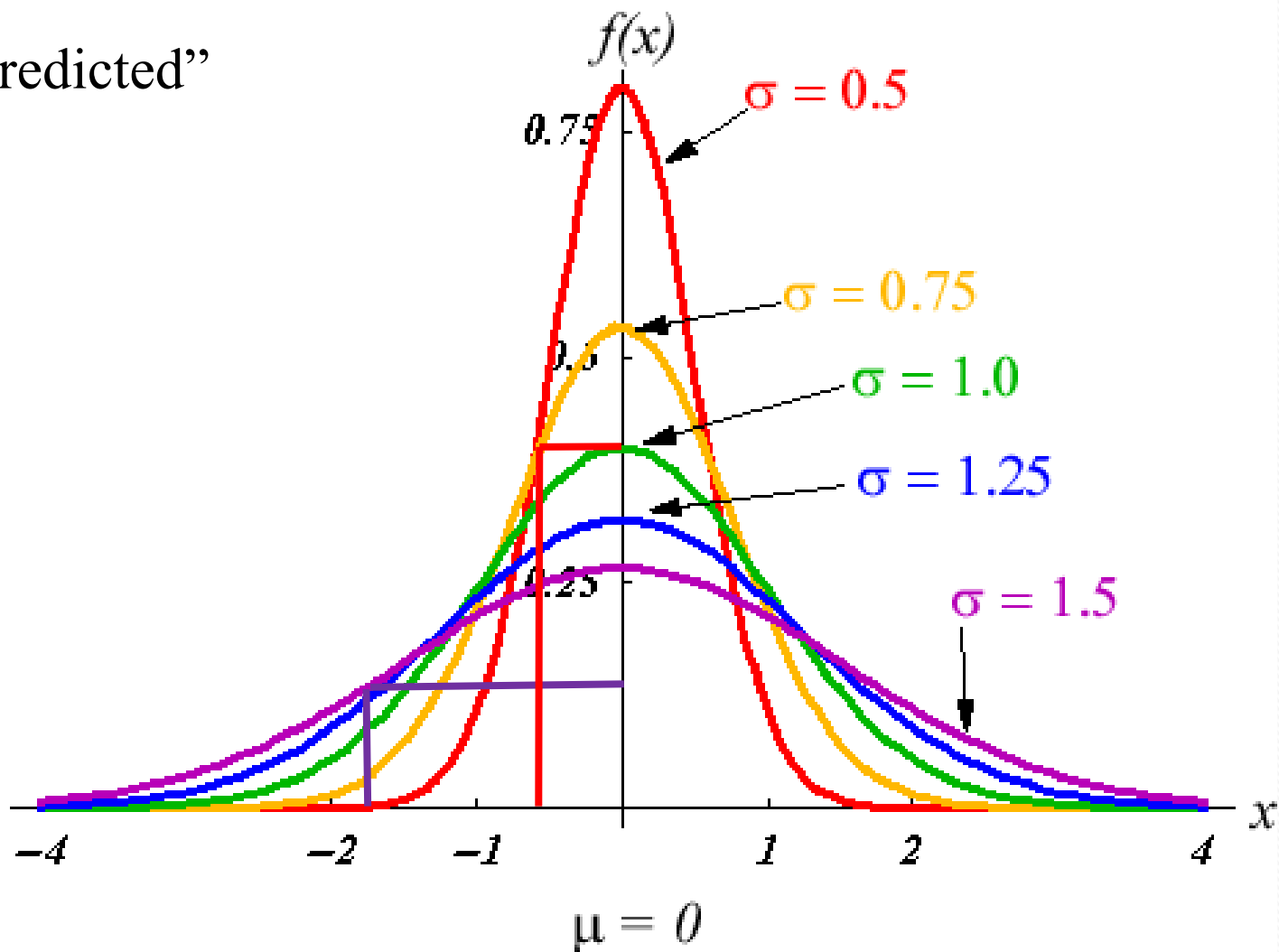
# Normal Distribution

**-1.65 Standard Residuals**  
**Lower limit of normal**  
**?? 80% Predicted**



# Normal Distribution(s)

“50% Predicted”



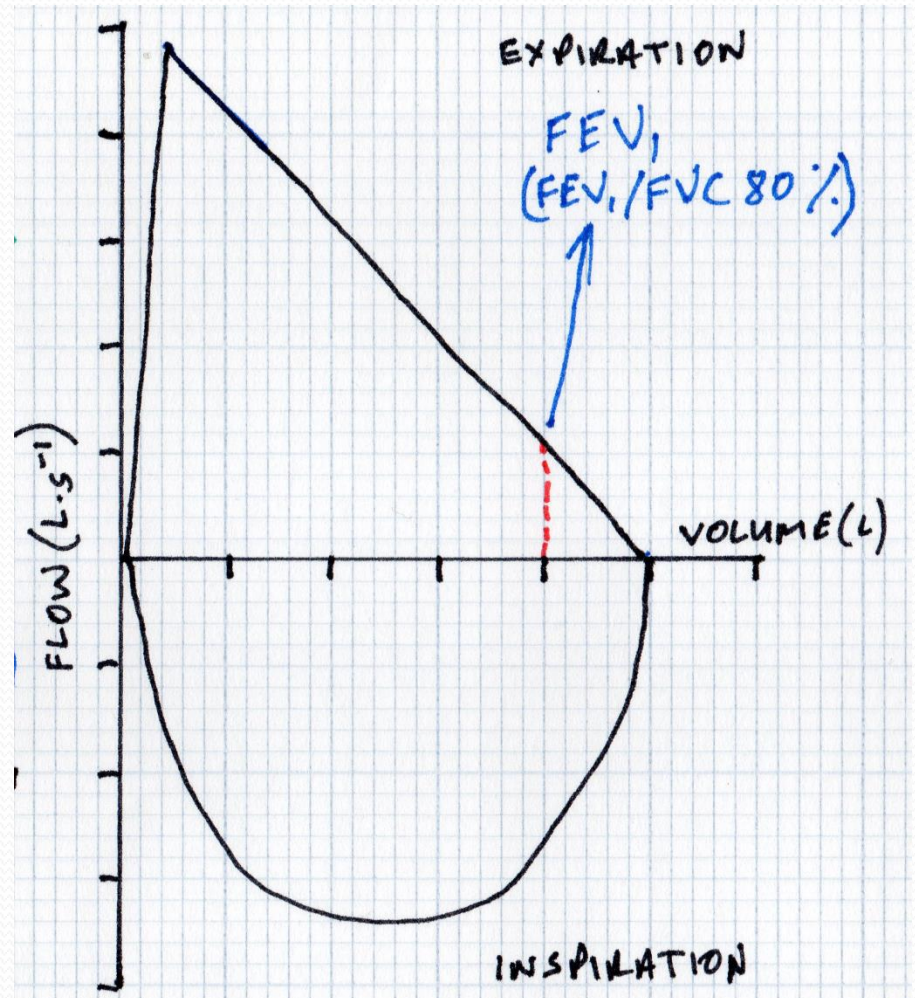
# Standard Residual

- The number of standard deviations (Z scores) from the population mean.
- Applicable to all lung function indices

Standard Residual (SR)	Severity
<b>&gt; -1.65</b>	<b>Normal</b>
<b>- 1.65 to -2.50</b>	<b>Mild</b>
<b>- 2.50 to - 3.50</b>	<b>Moderate</b>
<b>&lt; -3.50</b>	<b>Severe</b>

# Airway Indices

- PEF
- FEV<sub>1</sub>
- FEV<sub>1</sub> Ratio, FEV<sub>1</sub>/FVC
- “Mid expiratory flows”

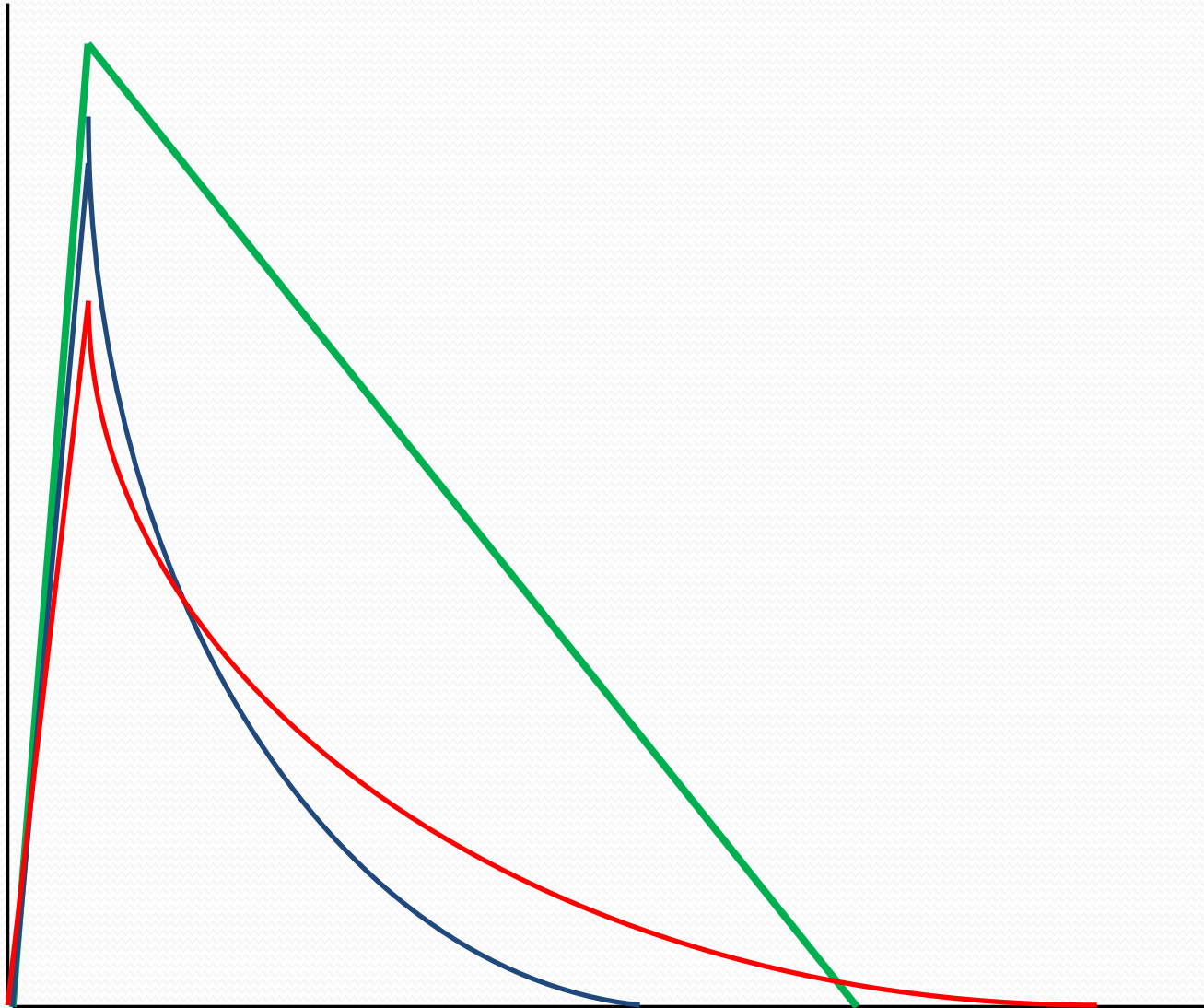


# The FEV1

- Predominance and Pre-eminence in medical education
- Misleading
  - Reduced in restriction
  - Often “normal” with hyperinflation
- Still recommended to determine severity in COPD
  - Equally misleading
- Learn to hate it



# The FEV1



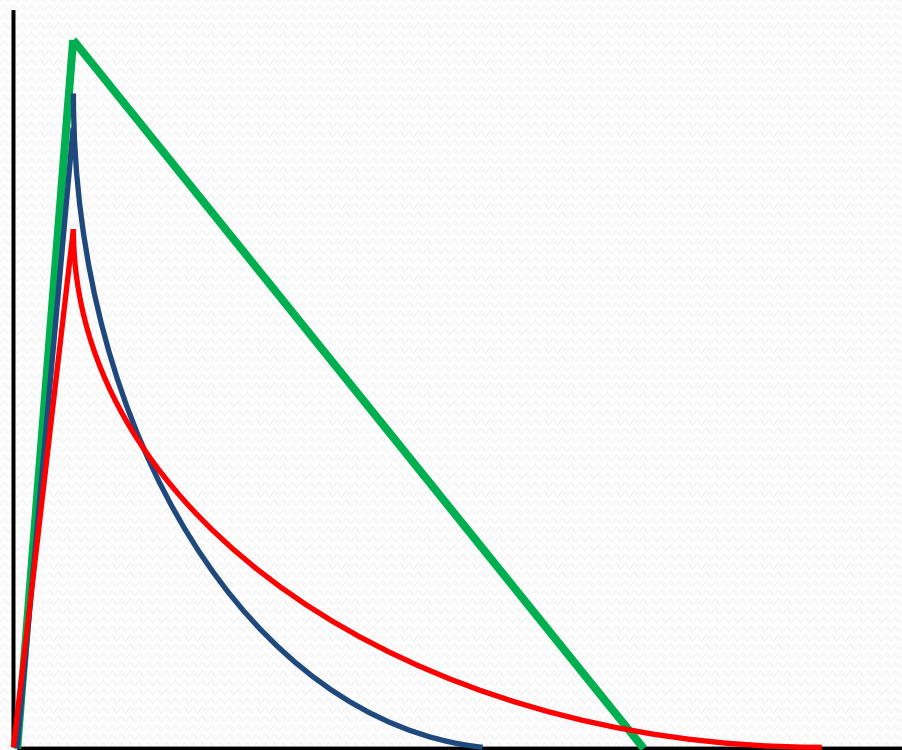
# The FEV1

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# Severity

Post Bronchodilator or FEV <sub>1</sub> Ratio	FEV <sub>1</sub> % Predicted	NICE Clinical Guideline (2010)	GOLD 2008	ATS/ERS 2004	Standard Residual (SR)
> 0.7	> 80%	Normal	Normal	Normal	> - 1.65
< 0.7	≥ 80%	Stage 1 – Mild*	Stage 1 – Mild	Mild	- 1.65 to - 2.50
< 0.7	50 – 79%	Stage 2 – Moderate	Stage 2 – Moderate	Moderate	- 2.50 to - 3.50
< 0.7	30 – 49%	Stage 3 – Severe	Stage 3 – Severe	Severe	< -3.50
< 0.7	< 30%	Stage 4 – Very Severe**	Stage 4 – Very Severe**	Very Severe	-

# Severity Interpretation



- FEV<sub>1</sub> 2.57 (69% pred)
  - FVC 3.73 (81% pred)
  - Ratio 69% (pred is 78%)
  - Moderate obstruction
- 
- FEV<sub>1</sub> 3.00 (81% pred)
  - FVC 6.22 (135% pred)
  - **Ratio 48% (pred is 78%)**
  - Mild Obstruction

# The FEV1 Ratio

- **Primary** index of airflow limitation in spirometry
- Normal Value?

# FEV1 Ratio

- 80 year old male, height 1.78m
- Reference FEV<sub>1</sub> Ratio 71%
  - LLN ~ 60%

Parameter	Value	Reference Value	% Predicted	Standard Residual
FEV1 (L)	2.16	2.61	83	-0.89
FVC (L)	3.62	3.62	100	-0.01
FEV1 Ratio	60	71	84	-1.63

# FEV1 Ratio

- 18 year old female, height 1.78m
- Reference FEV<sub>1</sub> Ratio 86%
  - LLN 75%

Parameter	Value	Reference Value	% Predicted	Standard Residual
FEV1 (L)	2.73	3.98	69	-3.29
FVC (L)	4.53	4.53	100	0.00
FEV1 Ratio	60	86	70	-3.90

# Severity Interpretation Using FEV1 Ratio

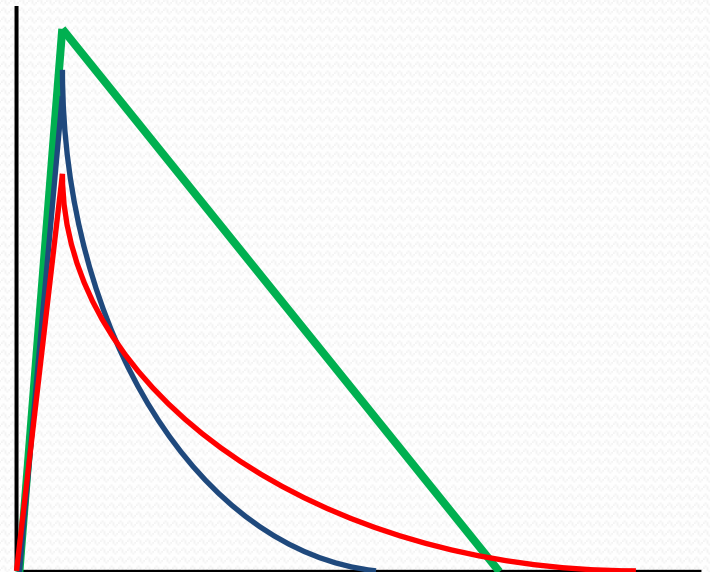
$$SR = \frac{\text{Measured} - \text{Predicted}}{\text{Residual Standard Deviation (7.17)}}$$

- FEV<sub>1</sub> 2.57 (69% pred)
- FVC 3.73 (81% pred)
- Ratio 69% (pred is 78%)
- Moderate obstruction

$$\frac{69 - 78}{7.17} = -1.25 \text{ (Normal)}$$

- FEV<sub>1</sub> 3.00 (81% pred)
- FVC 6.22 (135% pred)
- **Ratio 48% (pred is 78%)**
- Mild Obstruction

$$\frac{48 - 78}{7.17} = -4.18 \text{ (Severe Obstruction)}$$



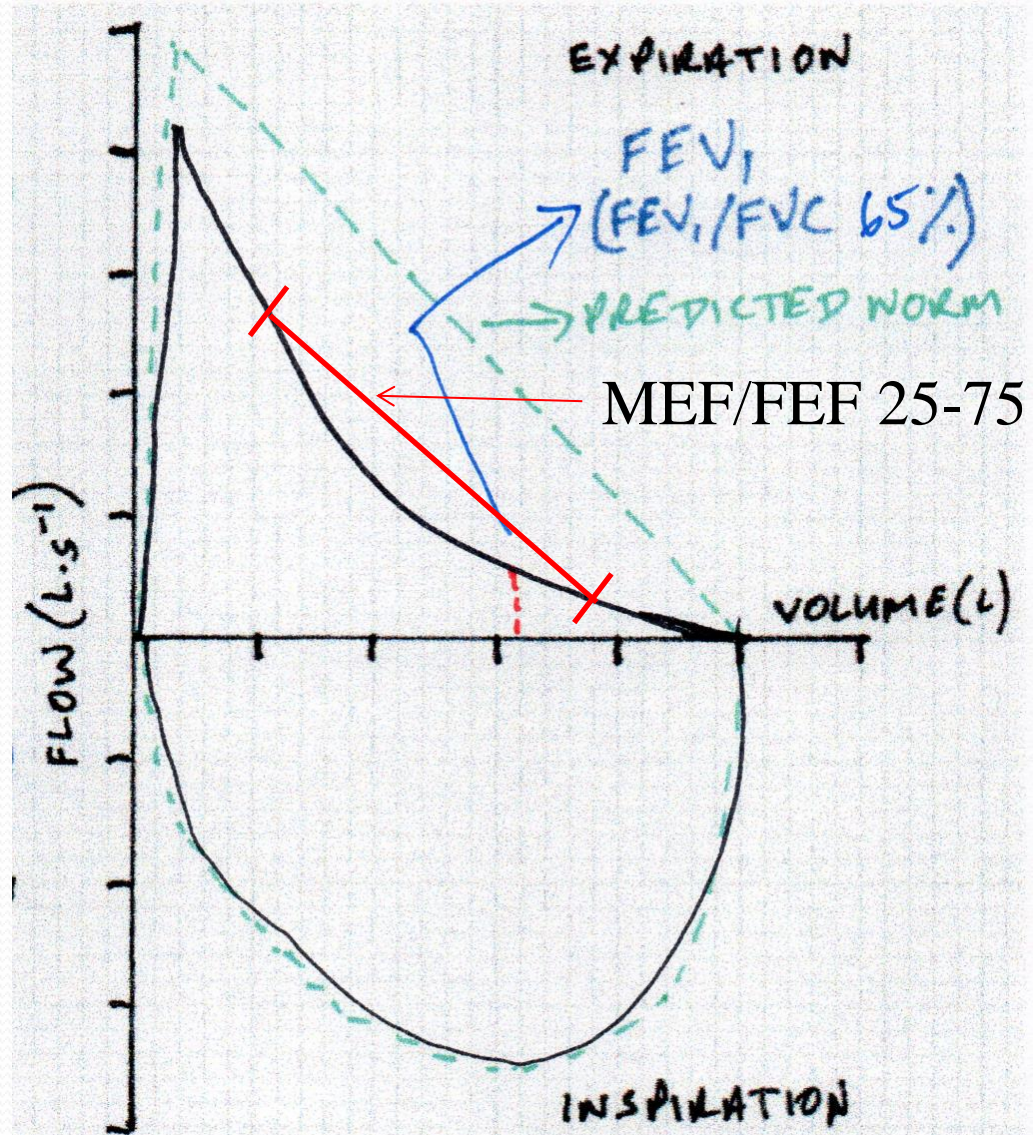


# Spirometry Interpretation

Spirometry	FVC	FEV <sub>1</sub> Ratio
Normal	> LLN (↔)	> LLN (↔)
Obstructive	> LLN (↔)	< LLN / SR (↓)
? Restrictive	< LLN (↓)	> LLN (↔)
Combined	< LLN (↓)	< LLN (↓)

# Mid Expiratory Flows

- Smaller airway function
- Different units
- Wider variation
- Interpret cautiously



# PEF

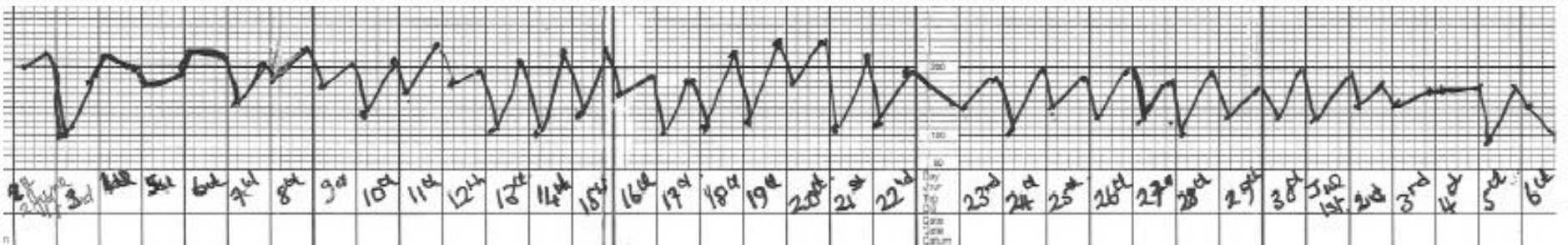
- Variability and diurnal variation
- Variability > 10% suggestive of abnormality and suboptimal control with BD measurements

$$\frac{\text{Highest PEF} - \text{Lowest PEF}}{\text{Mean of highest} + \text{Lowest PEF}} \times 100$$

Mean of highest+ Lowest PEF

- Visual diurnal variation

# PEF diurnal variation

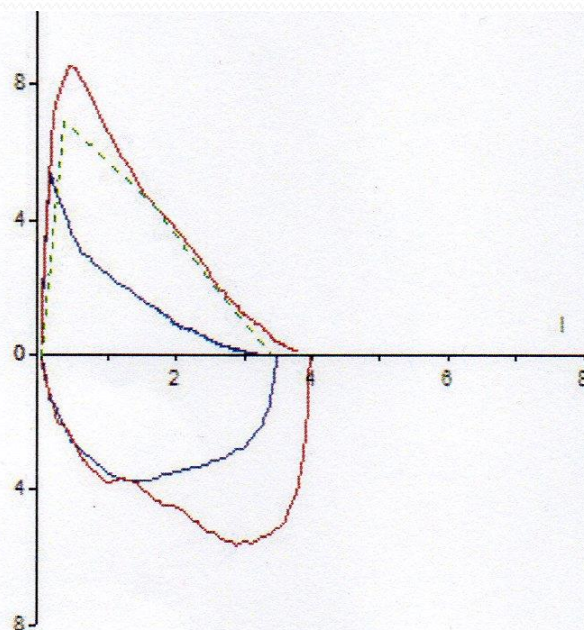
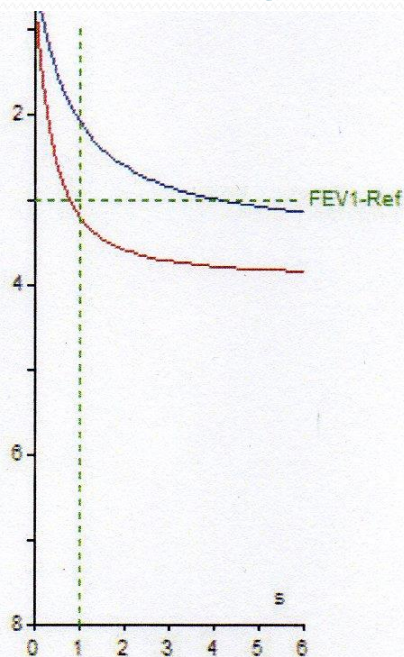


# Reversibility

- Spirometry and change in FEV<sub>1</sub> still considered gold standard for assessing reversibility
- 200 ml AND 12% Increase in FEV<sub>1</sub>



# Reversibility



time  
medicament

parameter

unit

pred.

pre

%pred.

post

%pred.

post%pre

FVC

l

3.46

3.28

95

3.93

114

20

FEV1

l

3.01

2.08

69

3.23

107

55

FEV1/VC

%

60

82

37

FEV1/FVC

%

63

82

29

PEF

l/min

411

320

78

511

124

60

MEF75

l/s

6.03

2.69

44

6.67

110

148

MEF50

l/s

5.23

1.76

34

4.56

87

158

MEF25

l/s

2.05

0.54

26

1.33

65

146

MEF25-75

l/min

235

74

31

190

81

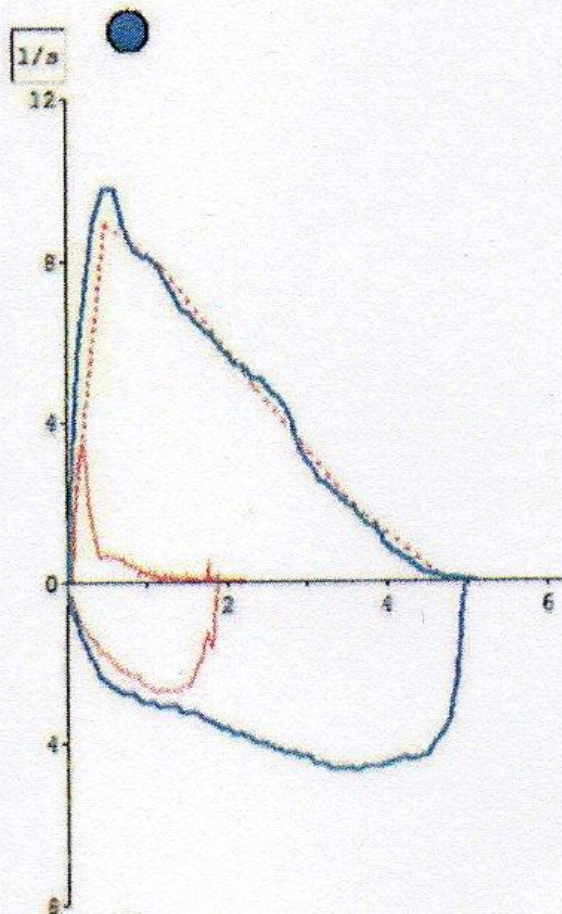
157

14:51

15:24



# Reversibility



## Parameter

VC  
TV  
  
FEV1  
FVC<sub>cut</sub>  
FEV1/FVC  
FEV1/VC  
PEF  
MEF25  
MEF50  
MEF75

### Reference 11.01.2018

	%Ref
VC	64%
TV	0.56
FEV1	0.90 24%
FVC <sub>cut</sub>	2.20 40%
FEV1/FVC	41
FEV1/VC	28
PEF	211 39%
MEF25	7 8%
MEF50	10 4%
MEF75	40 6%
	0.08
	11.4

### Effort 1

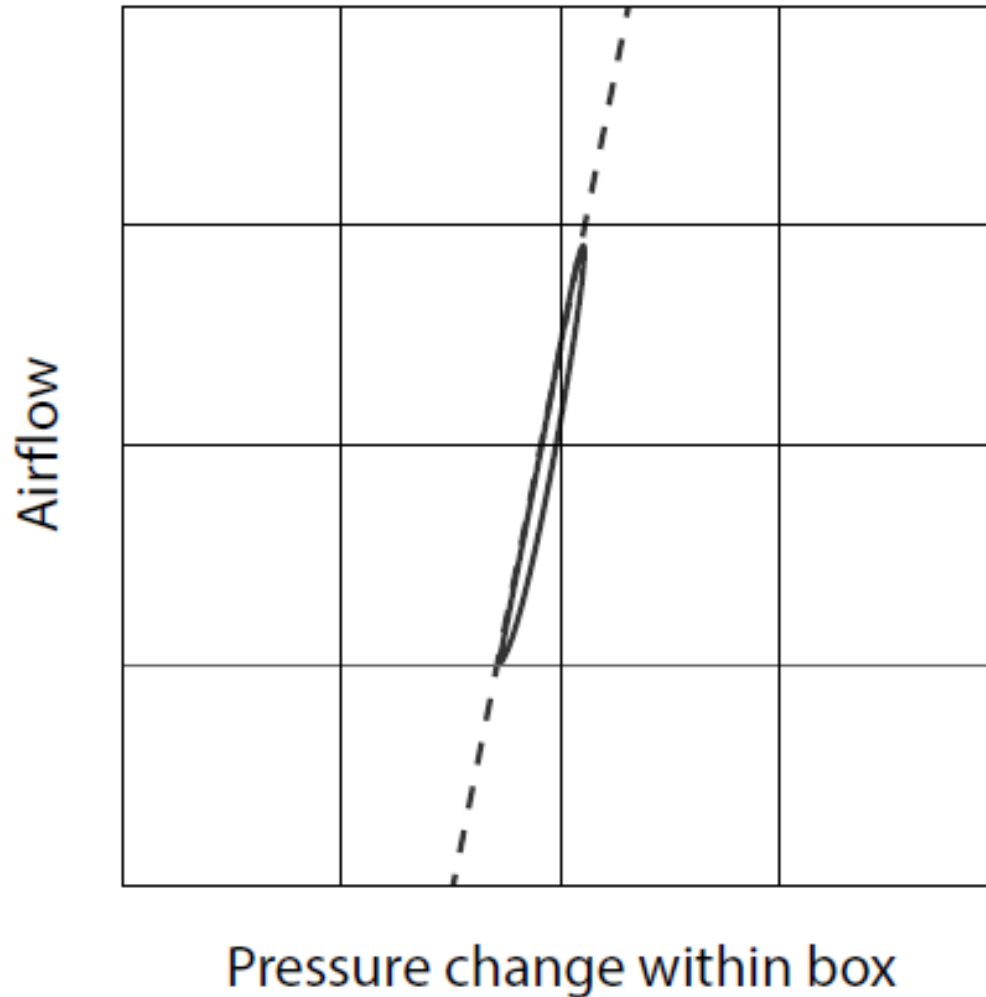
	%Ref
VC	4.41 69%
TV	0.59
FEV1	3.98 106%
FVC <sub>cut</sub>	5.08 107%
FEV1/FVC	78
FEV1/VC	90
PEF	589 108%
MEF25	84 71%
MEF50	288 88%
MEF75	445 94%
	0.08
	10.1

# Airway Resistance

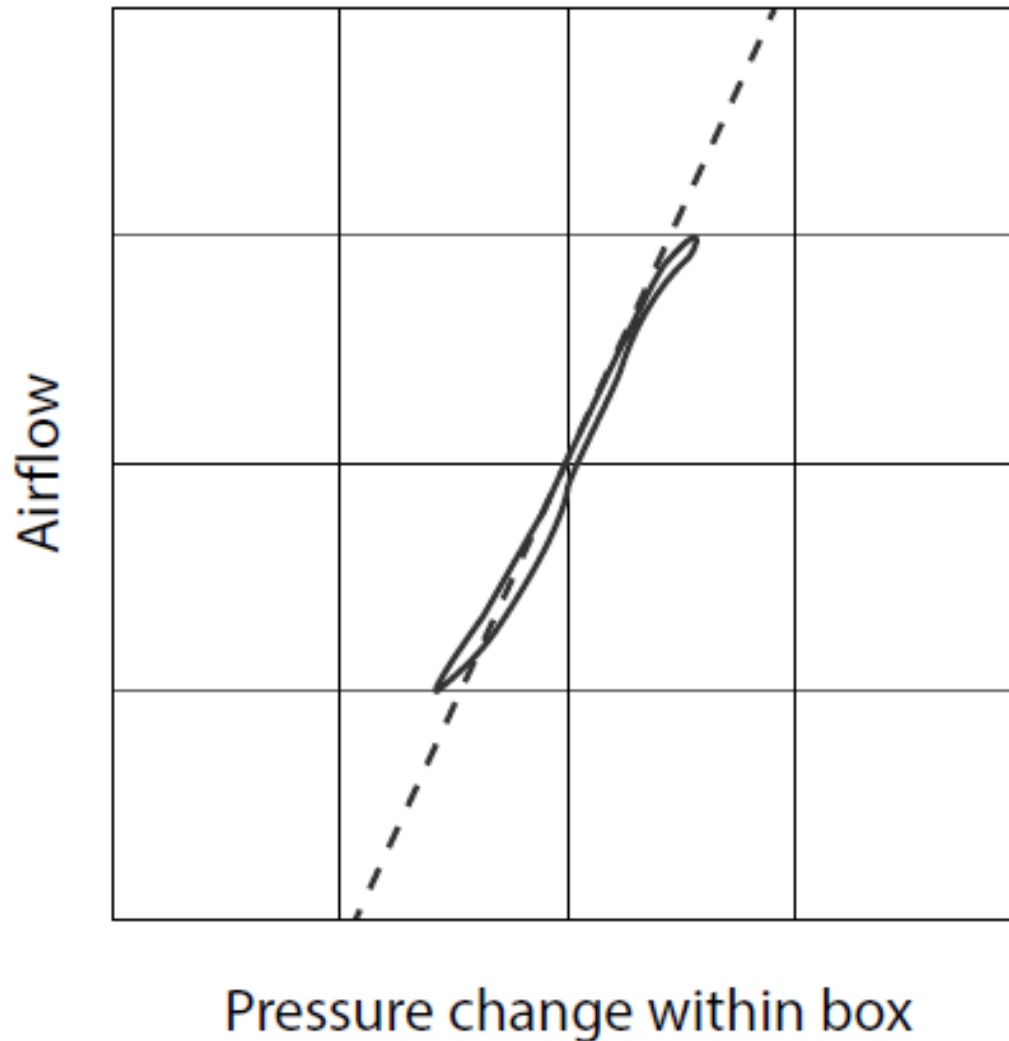
- One of few indices where value greater than average suggests pathology
- May suggest airway disease with normal spirometry



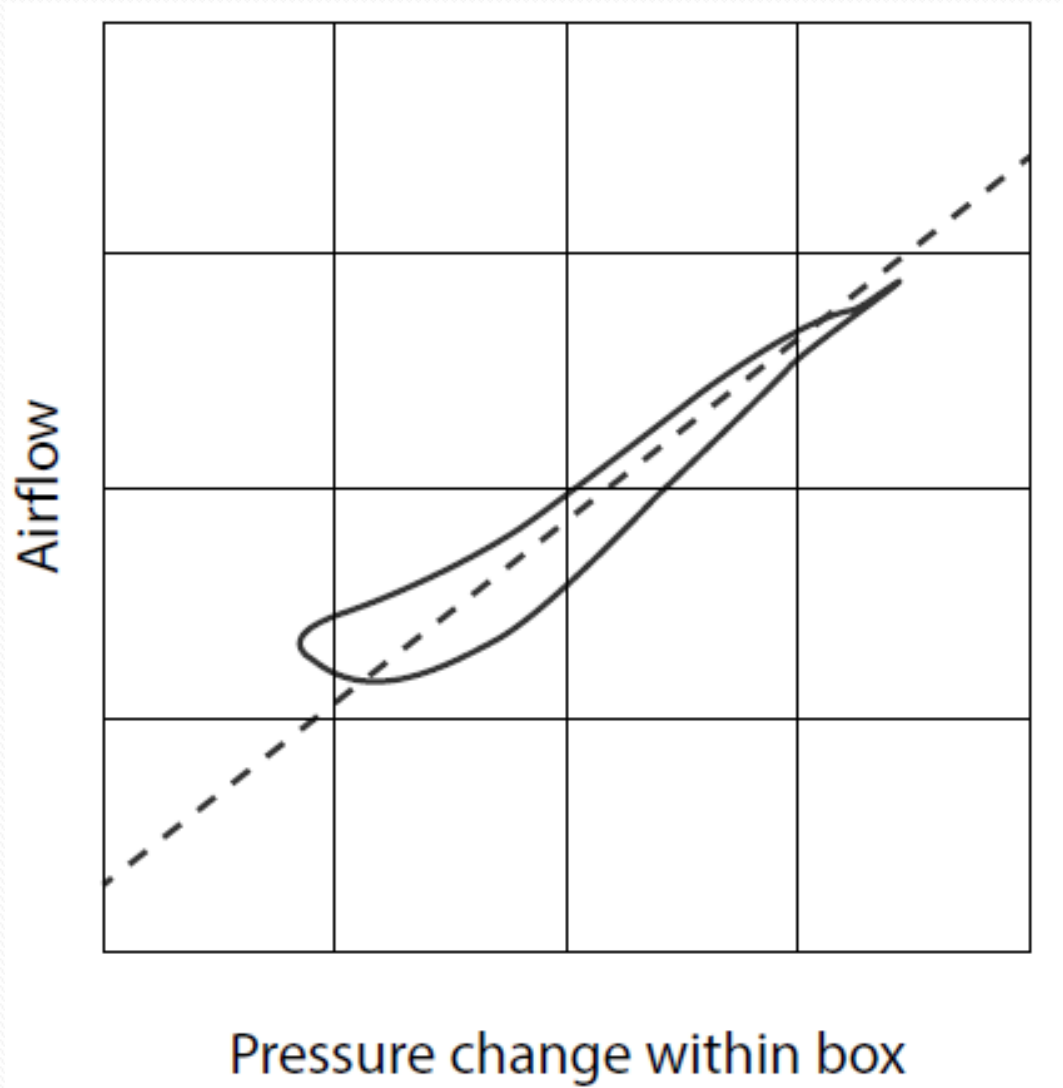
# Airway Resistance



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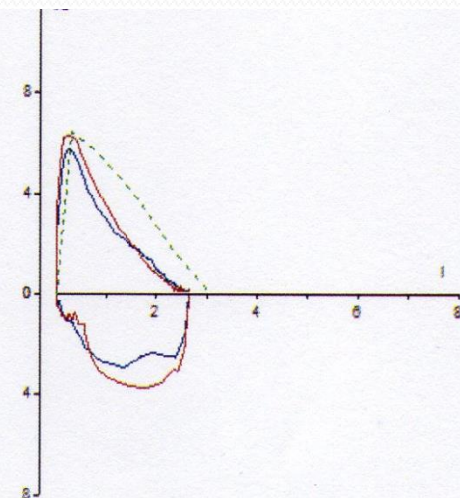


# Airway Resistance



# Airway Resistance

PARAMETER	UNIT	PRED.	PRE	%PRED.	SR	POST	%PRED.	SR	POST% PRE
FEV1	l	2.65	2.21	83	-1.16	2.18	82	-1.24	-1
FVCex	l	3.08	2.81	91	-0.63	2.68	87	-0.92	-4
FEV1/FVC	%		79			81			3
VC	l	3.05	2.67	88	-0.89	2.70	89	-0.83	1
FEV1/IVC	%		83			81			-2
PEF	l/min	383	345	90	-0.70	376	98	-0.14	9
FIV1	l	2.73	2.44	89		2.48	91		2
FVCin	l	3.05	2.65	87	-0.96	2.66	87	-0.91	1
PIF	l/min	258	175	68	-1.51	223	86	-0.64	28



## Body Plethysmography

### LUNG VOLUMES AND SUBDIVISIONS

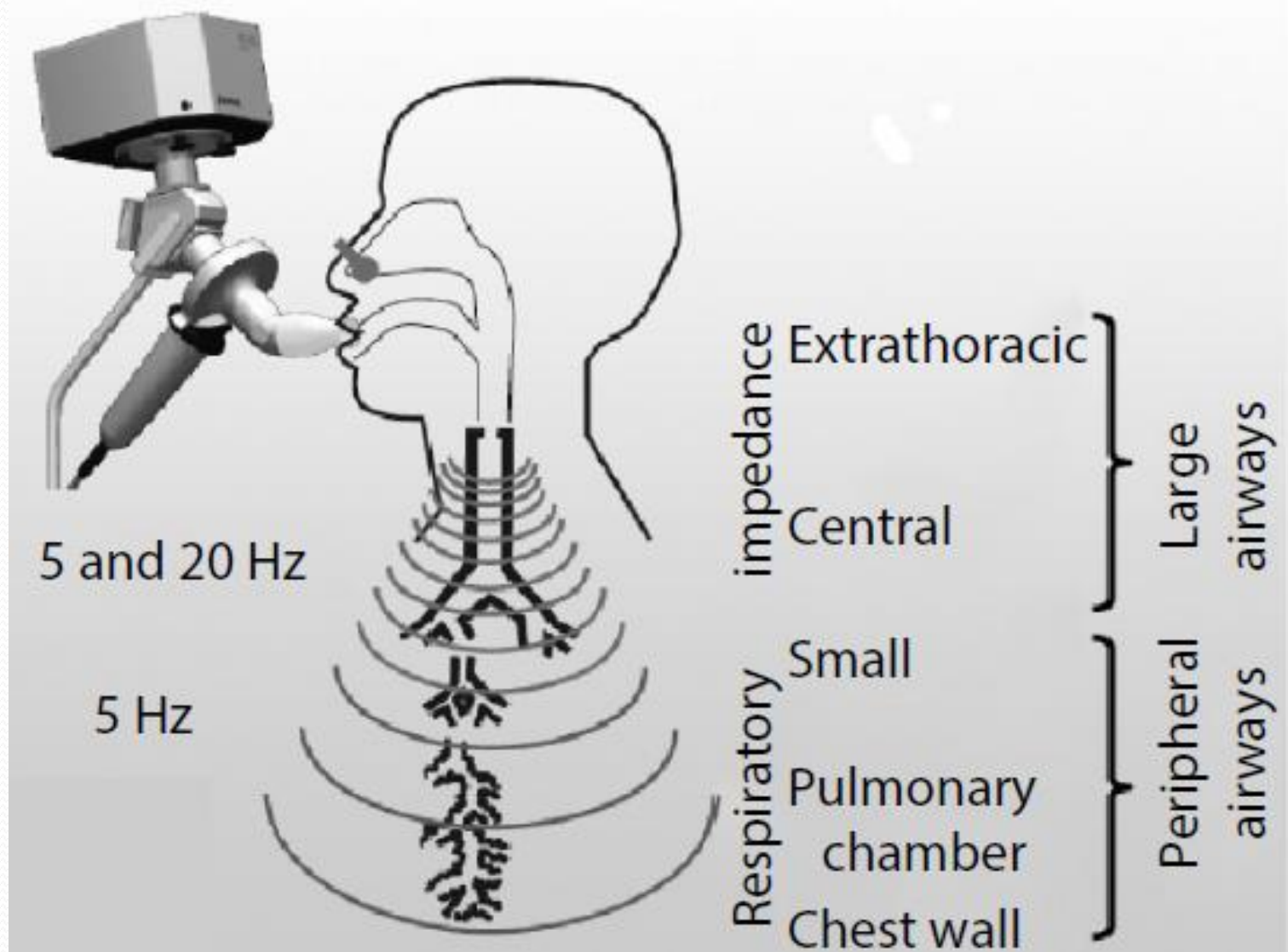
PARAMETER	UNIT	PRED.	PRE	%PRED.	SR	POST	%PRED.	SR	POST% PRE
TLC	l	4.57	3.75	82	-1.38	3.93	86	-1.08	5
RV	l	1.45	0.94	65	-1.45	1.18	81	-0.78	25
VC	l	3.05	2.67	88	-0.89	2.70	89	-0.83	1
TGV	l	2.55	1.65	65	-1.80	1.99	78	-1.13	20
TGV/TLC	%	51	44	86	-0.72	51	99	-0.05	15
RV/TLC	%	32	25	79	-1.16	30	94	-0.33	19

### RESISTANCE

RAWtot	kPa/(l/s)	0.30	0.95	318		0.25	82		-74
sRAWtot	kPa*s	0.77	1.58	206		0.49	64		-69



# Oscillometry



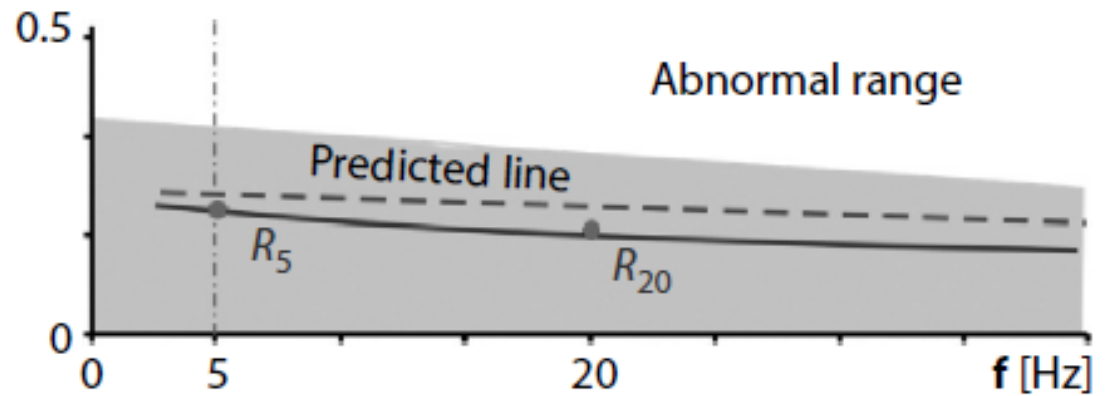


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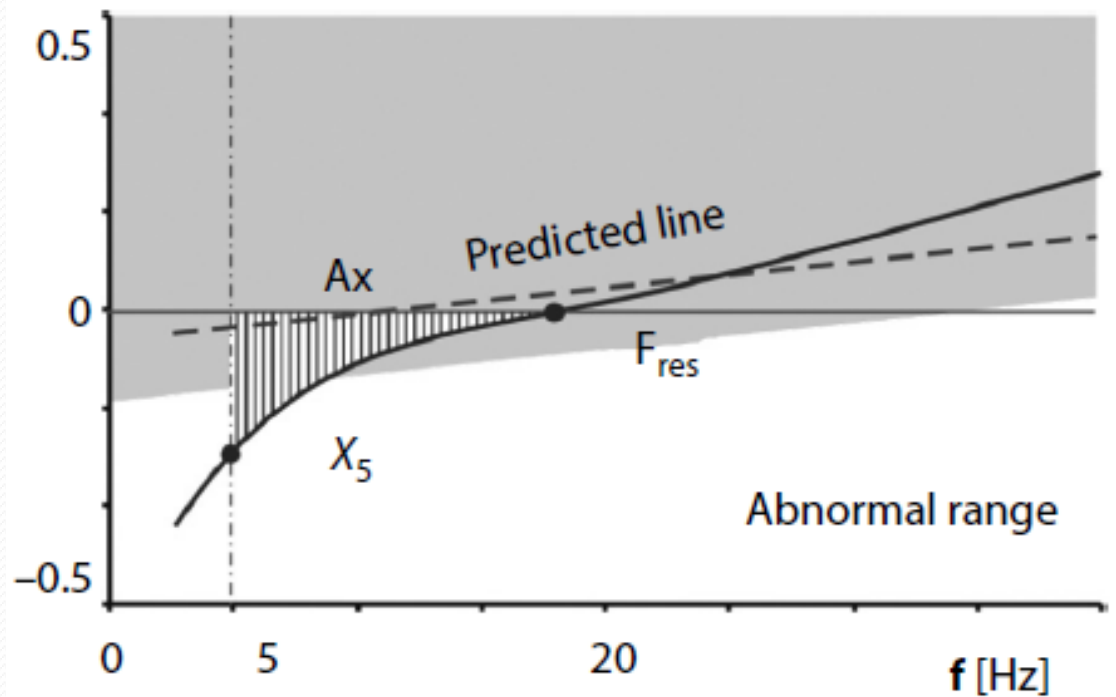
Abbreviation	Parameter
$R_5$	Resistance (5 Hz)
$R_{20}$	Resistance (20 Hz)
$R_5 - R_{20}$	Resistance (5–20 Hz)
$X_5$	Reactance (5 Hz)
$A_x$	Reactance area
$F_{\text{res}}$	Resonant frequency

# Oscillometry

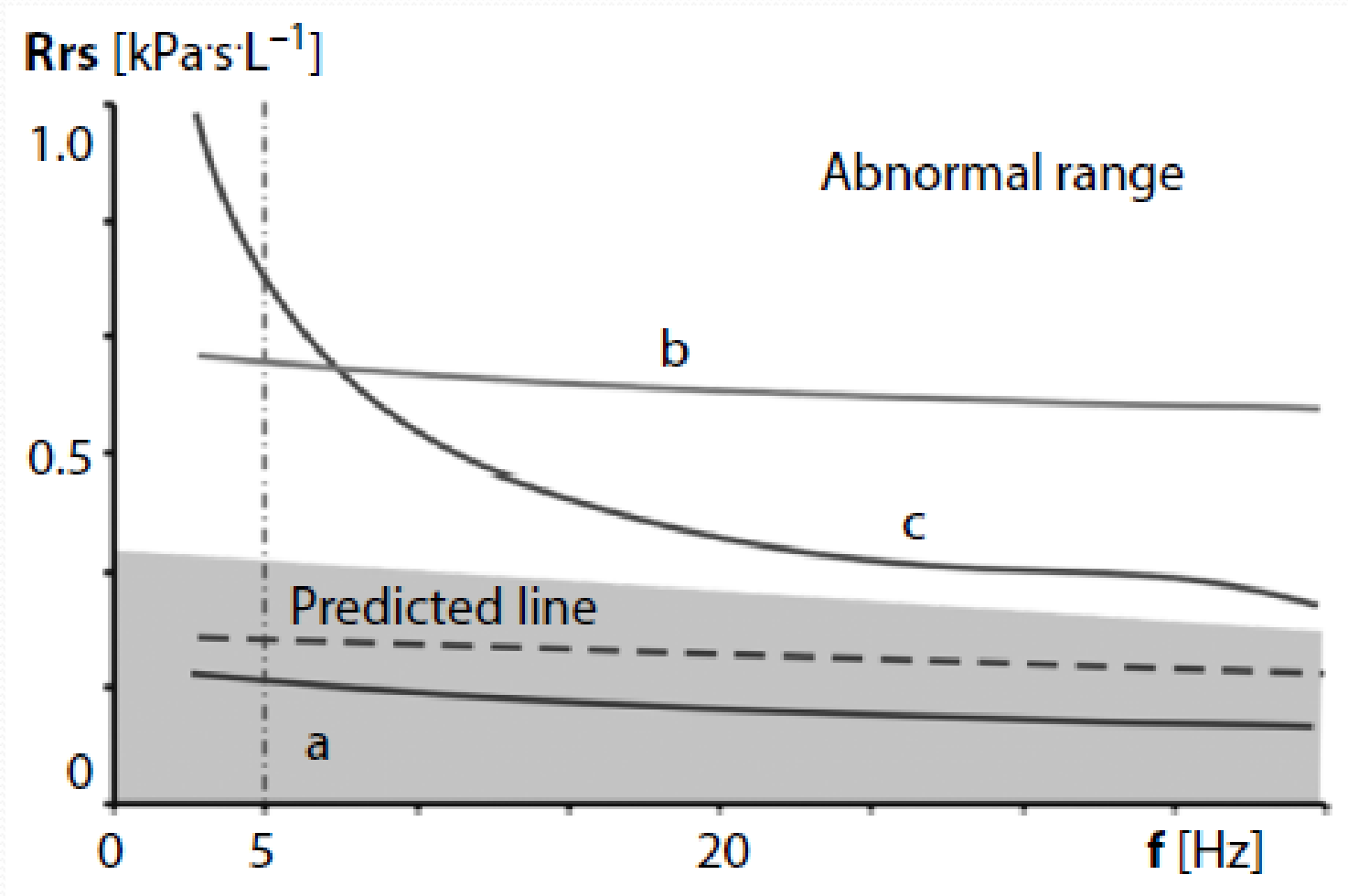
$R_{rs}$  [ $\text{kPa}\cdot\text{s}\cdot\text{L}^{-1}$ ]



$X_{rs}$  [ $\text{kPa}\cdot\text{s}\cdot\text{L}^{-1}$ ]

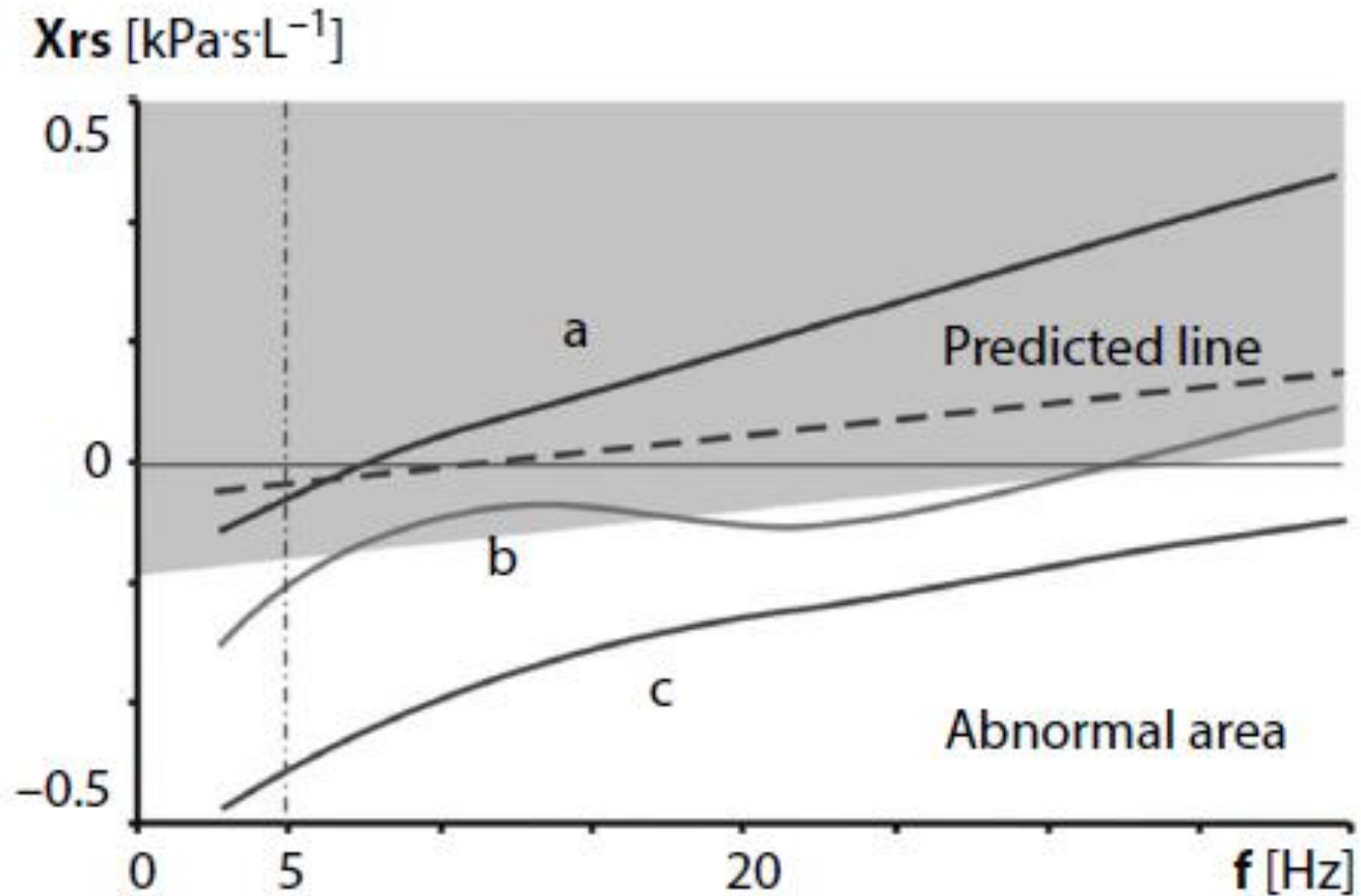


# Oscillometry





# Oscillometry

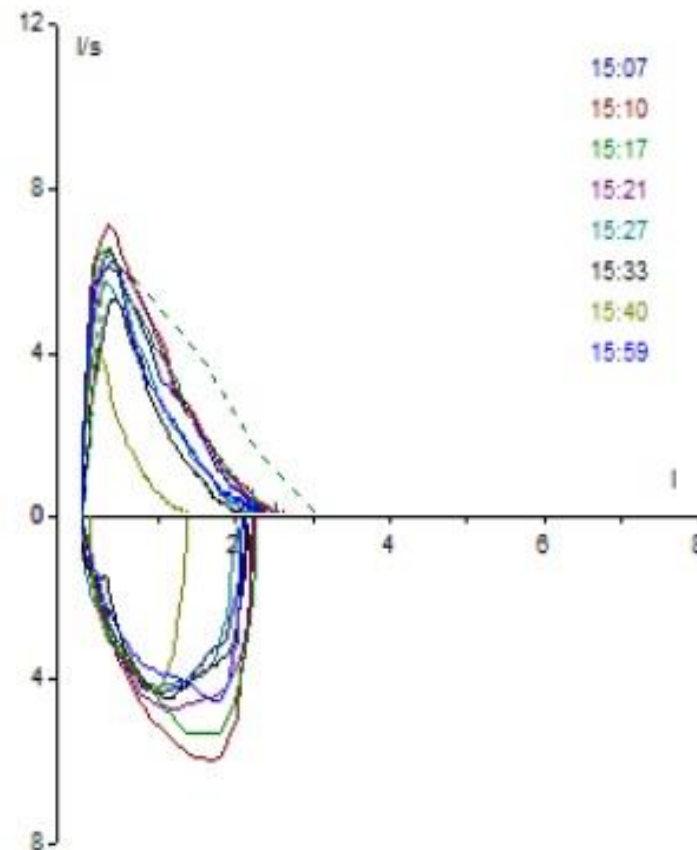
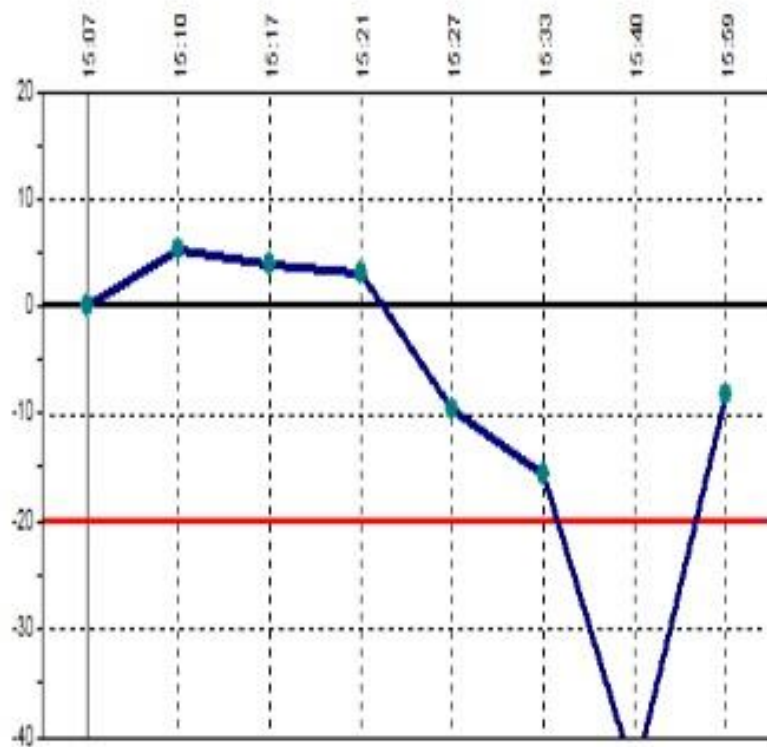


# Other tests

- Challenge test
- Lung Clearance Index (LCI)
  - Time to washout inert gas
  - Index of obstruction
  - ? Different patterns with different airway involvement
- Capnography
  - Altered waveforms in normal, asthma and COPD

# Challenge Test

- PC/PD20



# Other tests

- Challenge testing
- Lung Clearance Index (LCI)
  - Time to washout inert gas
  - Index of obstruction
  - ? Different patterns with different airway involvement
- Capnography
  - Altered waveforms in normal, asthma and COPD

# Conclusions

- Assessment of airway function and changes over short periods important for confirmation of asthma diagnosis
- Accurate assessment depends on understanding normal values, expressions of normality and interpretative strategies
- Spirometry is still gold standard for assessing changes in airway function
- Tidal breathing assessment becoming more clinically available with complementary utility.